

employers, insurance companies, know. However, a DNA profile, derived for forensic purposes, reveals nothing about an individual's genetic makeup and DNA samples are destroyed after conviction.

A second fear relates to the accuracy of the profiling and the chances of error resulting in wrongful conviction. Many arguments have been presented by both sides but it is interesting to note that in 2007, Jerry Miller was the 200th person in USA to be exonerated based on DNA profiling – technology not available at the time of his trial – after he had served 25 years for a rape that he did not commit.

Ethically, the discussion centres on a balance between the rights of the individual and the rights of society. Williamson and Duncan (2002) argue there are only two fair possibilities for DNA profiling: everyone or no one. If one purpose of a DNA database is to deter crime, why not profile everyone? if so, when? At birth, at the age of consent?

The cost of testing the entire population also needs to be taken into consideration. If samples are taken only from convicted offenders, there are concerns that some groups will be over-represented in the criminal intelligence database (social and racial inequality). Interrogating the database is likely to score more hits within these groups perpetuating the situation. Furthermore, if providing a sample for DNA analysis is voluntary but a suspect refuses to give a sample, the assumption is the person has something to hide.

What is the future of DNA profiling?

Research is underway to develop a portable handheld DNA analyser, which can be used at the crime scene. The Y chromosome is inherited, like a surname in many cultures, through the male line of descent. Thus, it has been proposed that Y chromosome profiling and interrogation of a Y chromosome DNA database will allow prediction of the surname of male suspects or victims of crime from DNA alone. Similarly, markers on mitochondrial DNA may be used to trace an individual's ancestry through the female line of descent. There is intense interest in developing "DNA Photofits" where solely through DNA analysis a physical profile (Identikit) of a suspect/victim can be drawn.

However, currently, there are few absolute tests for identifying features such as hair, eye or skin colour, because there are ethical concerns and these characters are also the result of variations in many different genes and environmental factors. Another possible development is DNA profiling of the myriad of microbes that co-habit our skin and bodies. It is believed this will make it feasible to distinguish between identical twins, whose own DNA profiles are identical, as every individual will harbour their own zoo or garden of microbes.

One thing is certain – there will be amazing advances – to quote Sir Alec Jeffreys "if you had told me (in 1984) that 20 years later this technology would directly touch the lives of 10 million people worldwide, I would have thought 'fantasy, no way' – I am amazed".



The PUB programme is an initiative of the Department of Science and Technology and is implemented by SAASTA. The mandate of PUB is to promote a clear, balanced understanding of the potential of biotechnology and to ensure broad public awareness, dialogue and debate about biotechnology and its current and potential applications. For more information visit www.pub.ac.za or contact info@pub.ac.za, Tel: 012 392 9300 or Fax: 012 320 7803.

PROMOTING A CLEAR, BALANCED UNDERSTANDING OF BIOTECHNOLOGY



PUBLIC UNDERSTANDING OF BIOTECHNOLOGY

DNA PROFILING

What is DNA profiling?

One thing that all humans have in common is that each person is unique - just like everyone else!

The basis of this individuality lies in the genetic information encoded by each organism in its DNA (deoxyribonucleic acid). Each individual carries a unique DNA sequence. Thus, while any group of organisms will have a general DNA sequence that will identify it as, for example, human or a particular species of bird, individuals within each group will have their own exclusive sequences.

Spectacular advances made during the 20th century in the science of molecular biology have made it feasible, in terms of time and money, to identify these differences and to reveal them as a pattern that can be used to distinguish any two individuals. The pattern can be likened to a unique barcode or personal identifier, which in humans, and particularly in forensics, is referred to as a "DNA profile" (also called a "DNA fingerprint").

DNA profiling has been so popularised by the media that the public are generally aware of its application in identifying suspects in linked murders, rape cases and violent crimes, or in exonerating the wrongfully accused, and in establishing an identity for corpses or skeletons and victims of mass disasters.

So sensitive is the technology that, although blood, saliva or semen are the main sources of DNA, a profile can be obtained from fragments

of bones or even a single cell left on touched objects such as a steering wheel, a licked stamp, the handle of a gun, the inside of a glove, a scarf, a hat, a bite wound, a cup or cool drink can, or a cigarette butt. Furthermore, DNA itself and the technologies used are so sturdy that a DNA profile can even be established years after an event.

DNA profiling - a short history

In 1984, Alec Jeffreys, a scientist at Leicester University in England – who was later knighted for his contributions to forensic science – realised the exciting possibility that his research could be used to identify individuals from differences in their DNA sequence. Two years later his idea was validated when British police used DNA fingerprinting (as it was then known) in three world "firsts" – firstly, undertaking mass DNA screening of males in an area, and then using this information to exonerate one man and to convict another of the rapes and murders of two high school pupils in the small village of Narborough in Britain.

Concomitantly, in 1986, American scientist Kary Mullis developed the PCR (polymerase chain reaction) which added another dimension to DNA fingerprinting by making it possible to copy specific target regions of a single molecule of DNA to make millions of copies of the nucleotide sequences in a matter of hours. PCR makes it possible to make multiple copies of extremely small quantities of DNA. As a result sample size is no longer a limiting factor in characterising DNA recovered from a crime scene and it is possible to produce a DNA profile



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from trace evidence and extremely degraded samples. (For more information on the PCR process, visit <http://en.wikipedia.org/wiki/Polymerase.chain.reaction>)

Together, these technologies opened a new era of forensic DNA profiling; in 1995, the UK set up the world's first DNA database, the USA followed in 1998 and, in same year, South Africa entered its first DNA profiles into what is now called the National DNA Database of South Africa (NDDSA). In 2006, the world's first fully automated system for high volume forensic DNA analysis and profiling became operational in the South African Police Service (SAPS) Forensic Science Laboratory (FSL), Tshwane. Financed by a European Union initiative to build capacity in SA, this 37 m long, 4 m wide, system can run 22 hours per day 365 days a year, handling 8 000 samples a day for DNA extraction and further processing.

How does DNA profiling work?

Genetic information is stored in cells as DNA, a long molecular chain, on which the precise linear order of stretches of four chemicals (called nucleotides) constitute individual genes. In turn, these genes encode the specific protein products that are needed for the cells of an organism to grow and function. In humans, the DNA in every cell is split between 23 pairs of chromosomes.

The coding sequences of DNA that make up the genes are interrupted by long stretches of DNA that do not code for proteins and which are consequently called "non-coding DNA" or more loosely referred to as "junk DNA". In this "junk DNA", there are numerous chromosomal locations that contain short stretches of DNA where a particular sequence of 2 - 8 nucleotides is repeated in tandem a number of times. These repeat units, known as Short Tandem Repeats (STRs), or microsatellites, always occur at the same chromosomal location, called "locus" and, although they are inherited stably from parent to child, they vary substantially between individuals. The biotechnology that allows this variation to be captured and recorded forms the basis of DNA profiling as it allows scientists to discriminate between individuals.

Basically, specific STR markers¹ are targeted on different chromosomes and using nature's own system of copying DNA, which is simplified in the development of Kary Mullis (PCR) by amplifying only the DNA of interest instead of the whole genome.

Therefore, each marker region or "locus" is specifically replicated. The size of the copied product will vary depending on the number of repeat units in the STR. These size differences can be measured using automated technology and the analyses recorded as a series of numbers on a computer printout. In practice, several markers are analysed simultaneously to generate which is individual-specific. It is therefore very unlikely to find another identical profile; the genetic profile is the "DNA fingerprint" of an individual, which is unique.

Comparison of the profile generated will produce a match if two DNA samples were derived from the same individual. Furthermore, in any individual, one member of each paired chromosome is inherited from an individual's mother and the other member from the father, and in turn only one of each pair will be passed down to the following generation. This allows family relationships to be established, which forms the basis not only of paternity testing but also helps in identifying unknown corpses and skeletons by comparison with close blood relatives.

How many markers are needed to generate a unique DNA profile?

An important consideration is the number of markers needed to generate a unique genetic barcode. Currently in South Africa, the SAPS FSL uses a set of nine markers and a tenth marker which allows gender discrimination. Statistically, this means that the probability of a false positive match between two people (other than identical twins) is approximately one in a billion (10^{12}).

However, in 2004, Sir Alec Jeffreys suggested that as the UK has such a large DNA database, 15-16 markers should be used to reduce the chances of two people, in a given population, having the same profile to one in a trillion.

Legislation and regulation of forensic DNA profiling

United Kingdom

In 1995, the first criminal DNA database was established in the UK, where laws specify compulsory DNA sampling and indefinite storage of DNA profiles of persons suspected, reported, charged, convicted, or cautioned for any recordable offence. Currently, the UK

combined criminal and crime scene databases contain over four million profiles.

In South Africa

Currently, the collection and retention of DNA profiles for criminal intelligence purposes is governed by the Criminal Procedures Act (CPA) of 1977. This act has been interpreted as preventing the taking of a blood sample from a convicted offender for DNA extraction by insertion of a needle, as this was seen as assault. Consequently, South Africa does not have a convicted offender database – the existing NDDSA of approximately 120 000 profiles consists of DNA profiles collected from crime scenes (called crime stains) and DNA samples of persons suspected of a crime.

However, a new bill, the Criminal Law (Forensic Procedures) Amendment Bill, is being formulated which will provide an overall framework for fingerprint and DNA collection and storage, and will enable the SAPS to increase arrest and conviction rates. This amendment bill was approved by Cabinet in 2008; in early 2009, public submissions were sought and a special parliamentary *ad hoc* committee was tasked with readying the bill for approval by Parliament. At the same time, the Treasury set aside R5.4 billion to fund the project (over a three year period), part of which has been ring-fenced for the expansion of the DNA database.

The new law, if passed, will provide for the establishment of a comprehensive criminal intelligence database that will be used by the SAPS for speculative searching against reference indexes. Five index subsets will contain DNA profiles of convicted offenders, crime scene stains, volunteers, a reference group and an elimination group composed of persons working with the collection and analysis of crime scene samples. The latter is necessary as the exquisite sensitivity of PCR technology may result in contamination of a crime scene sample by replication of DNA from a single cell accidentally derived from investigators or DNA analysts working in the Forensic Science Laboratory.

Crime scene stains will be kept indefinitely, as will DNA profiles of convicted offenders, however, the actual DNA sample obtained from any individual will be destroyed. The law will also allow DNA profiles to be established retrospectively from convicted offenders by a cheek swab or finger prick, which can be administered by a police officer – unlike the existing legal requirement for a blood sample taken by needle by a medical doctor. South African profiles will be generated with 10 markers (one of which will allow gender discrimination) in the SA FSL. The FSL is accredited and follows international standards using a set of markers, developed commercially under strict specifications, which create a unique genetic profile. It is important to note that no genetic disposition or other distinguishing feature can be read from this profile which will be generated for criminal intelligence purposes only.

Who does DNA profiling in South Africa?

All forensic cases, i.e. crime scene, missing persons' remains etc., are handled by the SAPS FSL. Many private laboratories and state laboratories throughout South Africa undertake paternity testing, while individuals at universities undertake research on a wide va-

riety of organisms using DNA profiling as a tool. At the University of the Western Cape, identification of human remains following exhumation of apartheid activists' graves has been performed in partnership with the National Prosecuting Authority stemming from the work of the Truth and Reconciliation Commission.

DNA profiling – a South African case story

In 2002, DNA profiling exonerated six persons accused by the community of the rape of a nine-month old baby girl, named Tshepang; at the same time, profiling identified the actual perpetrator. It has also been used to help unravel the mystery of Happy Sindane, and find the mother and identify the father of twin babies found apparently abandoned in a taxi.

Yet, despite these and many other successes, there is a backlog of cases awaiting DNA profiling. The reasons for this are complex, but include poor training of investigating officers, outdated legislation (although this is about to be changed), limited funding, embargos on processing crime stains and DNA profiles without a suspect, inadequate laboratory capacity and information systems, overwhelming case loads and the high cost of training.

Can DNA profiling be used in other organisms?

Genetic variation occurs in all other forms of life and the power of DNA profiling has been expanded into animal and plant, viral and bacterial profiling. It has applications in conservation, poaching and animal smuggling, authenticating consumer products, in tracing pollution outbreaks, in forensic investigations and in infectious disease research.

Researchers at several South African universities are investigating the application of DNA profiling to animal and plant identification, for example, in conservation management and in preventing poaching of such varied species as abalone, rhino, elephant, parrots, blue crane and cycads. DNA profiling can identify a particular animal or part, for example, meat or blood on an axe that may have been found under suspicious circumstances. Internationally, canine and feline DNA databases have been established and, in 1996, evidence based on a DNA fingerprint match between cat hairs found on jacket at the scene and a cat owned by a murder suspect led to his conviction. In South Africa, DNA profiling is used to authenticate the cultivars used in wine making, and has been used in identifying different strains of sweet potato in bio-banks.

Research based on DNA profiling of the organisms that cause HIV/AIDS and TB is used by South African scientists to gain an understanding of the factors driving the spread of these deadly epidemics.

What societal issues are associated with DNA profiling?

Concerns expressed by society are that DNA profiling may violate an individual's genetic privacy, revealing knowledge – such as disease susceptibilities, behavioral traits, paternity – that the person may not want to know, or have others, such as relatives,

¹ A marker is a fragment of DNA that is associated to a part of the genome.